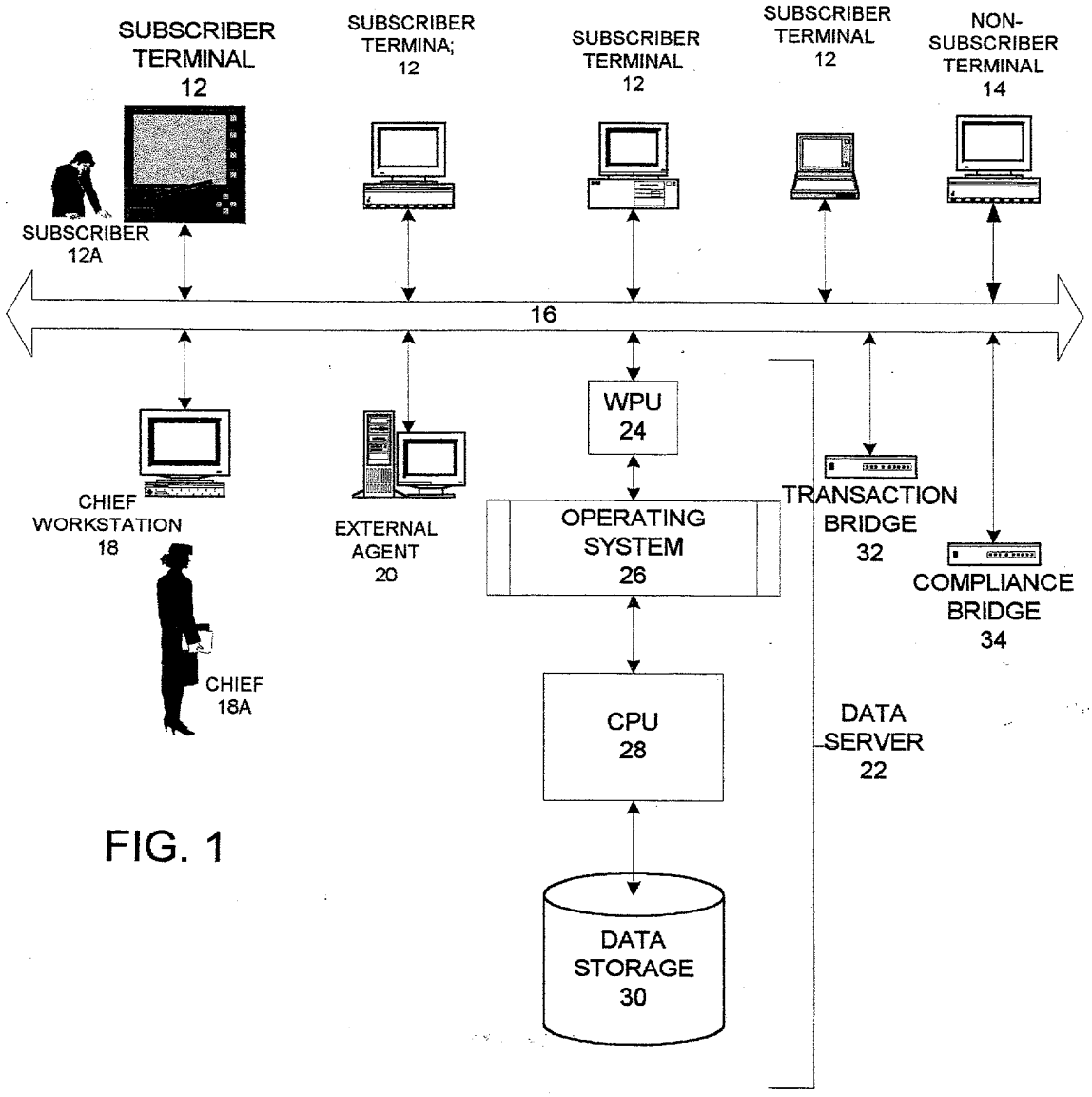
SUBSCRIBER TERMINAL 12
SUBSCRIBER TERMINA; 12
SUBSCRIBER TERMINAL 12
SUBSCRIBER TERMINAL 12
NON-SUBSCRIBER TERMINAL 14
SUBSCRIBER 12A
16
WPU 24
CHIEF WORKSTATION 18
EXTERNAL AGENT 20
OPERATING SYSTEM 26
TRANSACTION BRIDGE 32
COMPLIANCE BRIDGE 34
CHIEF 18A
CPU 28
DATA SERVER 22
DATA STORAGE 30

FIG. 1

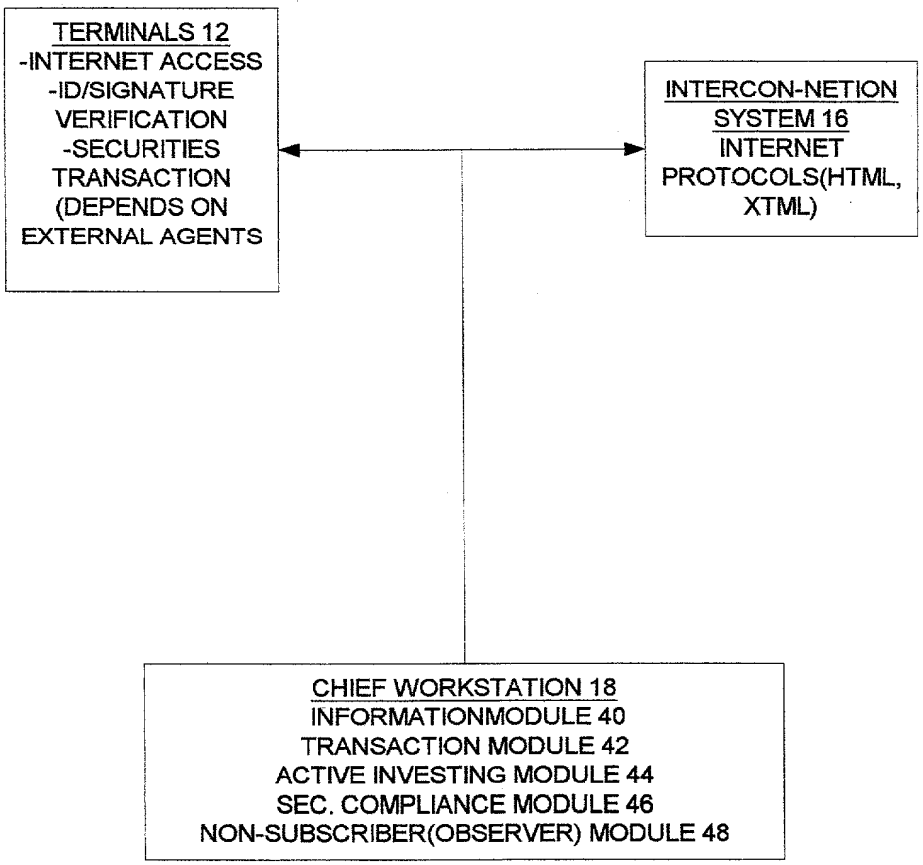
TERMINALS 12
-INTERNET ACCESS
-ID/SIGNATURE VERIFICATION
-SECURITIES TRANSACTION (DEPENDS ON EXTERNAL AGENTS
INTERCON-NETION SYSTEM 16
INTERNET PROTOCOLS(HTML, XTML)
CHIEF WORKSTATION 18
INFORMATIONMODULE 40
TRANSACTION MODULE 42
ACTIVE INVESTING MODULE 44
SEC. COMPLIANCE MODULE 46
NON-SUBSCRIBER(OBSERVER) MODULE 48

FIG. 2

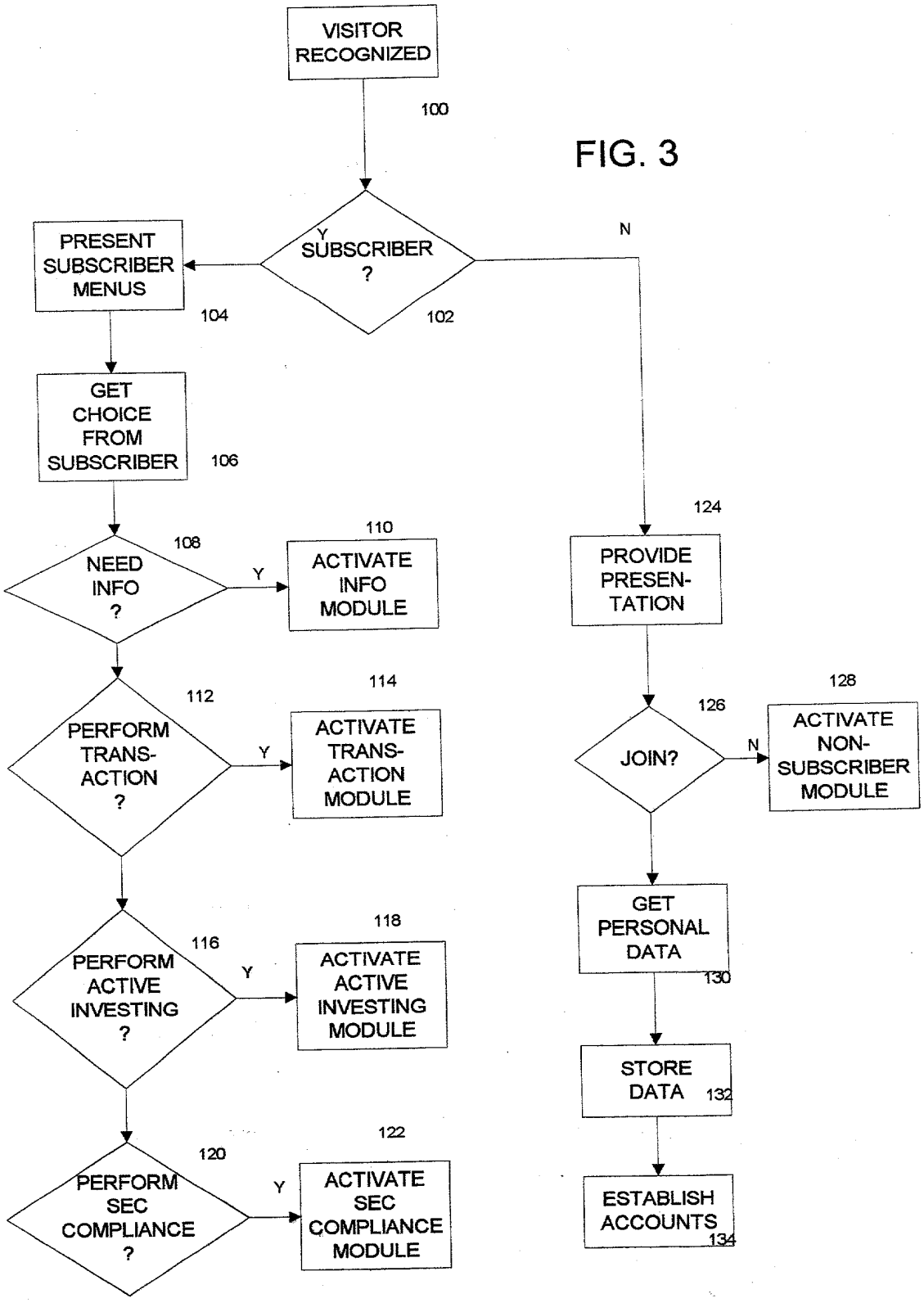
VISITOR RECOGNIZED
100
SUBSCRIBER ?
Y
N
102
PRESENT SUBSCRIBER MENUS
104
GET CHOICE FROM SUBSCRIBER
106
NEED INFO ?
108
Y
ACTIVATE INFO MODULE
110
PERFORM TRANS-ACTION ?
112
Y
ACTIVATE TRANS-ACTION MODULE
114
PERFORM ACTIVE INVESTING ?
116
Y
ACTIVATE ACTIVE INVESTING MODULE
118
PERFORM SEC COMPLIANCE ?
120
Y
ACTIVATE SEC COMPLIANCE MODULE
122
PROVIDE PRESEN-TATION
124
JOIN?
126
N
ACTIVATE NON-SUBSCRIBER MODULE
128
GET PERSONAL DATA
130
STORE DATA
132
ESTABLISH ACCOUNTS
134

FIG. 3

P #	Parent Process / Process / Sub-process	Agent	Entity	Attribute
1.0.0	Engage site visit			
1.1	Enable Subscriber access	Terminals	Visitor	screen name
1.1.1	Gather data	Network	Subscriber	password
1.1.2	Verify data	DB-Server		
1.1.3	Authenticate password	Data Flow		
2.0.0	Invoke Active Investing			
2.1.0	Deliver moderated content information	Chief Workstation	Chief	file type
2.1.1	Moderate and display content	Communication Comn	Vendor1	news & analyses
2.1.2	Moderate and facilitate communication	Active Archive	TOS	correspondence & filings
2.1.3	Manage archive & document distribution	Data Store	Disclosures	disclosure
2.1.4	Access 3rd Party data	External Agent1	Agreements	
2.1.5	Subscribe & incorporate with reference		Commission schedules	
2.1.6	Search		Licenses	
2.1.7	Deliver programmed content information		Materials	
2.1.8	Enable Network enrollment		Programs	
2.1.9	Offer to Visitors		Directories	
2.1.91	Visitor selections		Transcripts	
2.2.0	Perform Subscriber enrollment		Filings	Data Set2
2.2.1	Establish site disclosure & TOS			
2.2.2	Gather data			
2.2.3	Review & confirm Entity selections			
2.2.4	Establish subscription disclosure & TOS			
2.2.5	Authorize Name & Password			

FIG. 4A

P #	Parent Process / Process / Sub-process	Agent	Entity	Attribute
2.2.6	Enable directory preferences			
2.2.7	Enable credit card billing & renewal	External Agent2	Vendor2	
2.2.8	Activate Subscriber			
2.2.9	Enable Subscriber access			
2.3.0	Perform Account enrollment			
2.3.1	Gather data	Transaction Bridge	Account	Data Set3
2.3.2	Confirm Entity & Attribute selections		Broker-dealer	
2.3.3	Review & confirm Account suitability		Clearing broker1	
2.3.4	Establish subscription disclosure & TOS			
2.3.5	Identification & Signature verification		Vendor3	
2.3.6	Authorize Name & Password			
2.3.7	Enable Account preferences			Disclosure listing
2.3.8	Enable customer service access			Investment discretion
2.3.9	Fund & activate Account			Proxy discretion
2.3.91	Enable Account access			
2.4.0	Perform Observer enrollment			
2.4.1	Gather data		Observer	Data Set4
2.4.2	Review & confirm Entity & Attribute selections			
2.4.3	Review & confirm Observer suitability			
2.4.4	Establish Observer disclosure & TOS			
2.4.5	Identification & Signature verification			
2.4.6	Authorize Name & Password			
2.4.7	Enable Observer preferences			

FIG. 4B

P #	Parent Process / Process / Sub-process	Agent	Entity	Attribute
2.4.8	Activate Observer			
2.4.9	Enable Observer access			
3.0.0	Perform Active Investing			
3.1.0	Access Active Investing module	Portfolio management system		
3.1.1	Access & exchange information			
3.1.2	Access customer service			
3.2.0	Access Transaction module	Reg. 17A trading system		
3.2.1	Establish broker-dealer link		Trading broker	
3.2.2	Display Account information		Clearing broker	
3.3.0	Apply Active Investing Analytics		Model portfolio	
3.4.0	Execute transactions		Index portfolio	
3.4.1	Assess fees			
3.4.2	Modify Account information & preferences			
3.4.3	Terminate & withdraw Accounts			
3.4.4	Access customer service			
3.5.0	Access Compliance module			
3.5.1	Gather Account data		NASD Standards	
3.5.1.1	Evaluate data completeness and integrity		Federal Securities Laws	
3.5.2	Analyze and report Account suitability		Forms; SEC	
3.5.2.1	Display Account information, history & preferences		Forms; Other	
3.5.2.2	Calculate and display Account eligibility		Transaction records	
3.5.2.3	Review and designate Accou	Compliance Bridge	Proxy (14-a) templates	
3.5.2.4	Enable Active Investing Account		Concert (13-d) templates	

FIG. 4C

P #	Parent Process / Process / Sub-process	Agent	Entity	Attribute
3.5.3	Analyze Network Filing requirements		Legal counsel	
3.5.3.1	Review Active Investing Data Communication			
3.5.3.2	Aggregate Network investment Accounts			
3.5.3.3	Access public filing data			
3.5.3.4	Compute and compare investment thresholds and materiality for Network			
3.5.3.5	Identify Network filing requirements			
3.5.4	Schedule, prepare & review filings			
3.5.4.1	Access Account and other data, forms & templates			
3.5.4.2	Prepare filings			
3.5.4.3	Integrate 3rd party data			
3.5.4.4	Conduct fiduciary review			
3.5.5	Deliver filings			
3.5.5.1	Electronic delivery			
3.5.5.2	Conventional delivery			
3.5.6	Access customer service			

N#	Notes
1	Agent links are cumulatively employed performing processes
2	Further sub-processes established for proprietary, commercial and open software integration
3	Further sub-processes established for regulatory and commercial circumstances
4	Status designations entail electronic and fiduciary review

FIG. 4D

FIGURE 5A

ActiveInvesting.com

Active Investing Archive

date	ticker	company	source	topic	Moderator note	file type	# of hit & post
1/3/02			David Lang, Chief	Risk Advisory	Please read!	MS.net	x1,y1
1/3/02	XYZ	betaTarget	Warrior1	Letter to Horst Bucholz, betaTarget CEO	First Notice	MS.net	x1,y1
5/14/01	xyz	betaTarget	Warrior1	betaTarget June 30, 2001 analysis	Electronics don't connect	MS.net	x1,y1
3/14/01	xyz	betaTarget	Warrior1	betaTarget March 30, 2001 analysis	dismal reading	MS.net	x1,y1
1/3/02	xyz	betaTarget	Warrior1	beta campaign	Strategic Investment opportunity	MS.net	x1,y1
			Warrior1	Active Investing list	The Field	MS.net	x1,y1
			Warrior1	Active Investing strategy	Overview	MS.net	x1,y1
			David Lang, Chief	Network Subscriber directory	The Club	MS.net	x1,y1
			David Lang, Chief	Network Terms of Service	The Rules	MS.net	x1,y1
			David Lang, Chief	About Warror1@HedgeFundWarrior.Com	The History	MS.net	x1,y1
10/7/01			David Lang, Chief	About HedgeFundWarrior.com	The Site for Active Investing	MS.net	x1,y1
10/7/01							

Archive Search

date	ticker	company	source	topic	moderator note	file type
	lookup	lookup	key word	key word	key word	menu

MyAccount

Contact HFW

Search

FIGURE 5B

ActiveInvesting.com

Communication Command

date	ticker	company	moderator	topic	moderator note	file type	# of hits & posts
1/3/02			Warrior2	Risk Advisory	Please listen to Stonewall Jackson!!	audio	x1,y1
4/15/01	adp	Automated Data Processing	ADP	ADP Investor Relations	useful web site	link	x1,y1
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign governance	securities attorney needed	MS.net	x1,y1
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign agenda	proxy contest contemplated	MS.net	x1,y1
1/3/02	abcd	ABCD Corp web site	abcd	Beta campaign target	pitiful content	link	x1,y1
			Sachem1	Active Investing today	wassup	MS.net	x1,y1
			David Lang, Chief	Network Subscriber directory	The Club	MS.net	x1,y1
			David Lang, Chief	Network Terms of Service	The Rules	MS.net	x1,y1
10/7/01			David Lang, Chief	About Warror1@HedgeFund Warrior.Com	The History	MS.net	x1,y1
10/7/01			David Lang, Chief	About HedgeFundWarrior.com	The Site for Active Investing	MS.net	x1,y1

Command Search

date	ticker	company	moderator	topic	moderator note	file type
	lookup	lookup	key word	key word	key word	menu

MyAccount | *Search* | *Contact HFW*